

## CORPORATE RISK REGISTER - PERIOD OCTOBER - DECEMBER 2012

APPENDIX A

Reference	"Risk that...."	SLT Member	Impact (New)	Likelihood (New)	Quarter 1 Status	Quarter 2 Status	Quarter 3 Status	Quarter 4 Status	Progress
1	The <u>potential</u> liability facing the Council in respect of Equal Pay significantly weakens the Council's financial position	Mike Owen/Steve Kenyon	1	2	4	2	2		Risk substantially addressed as settlements have now been reached and are being implemented. Likelihood raised in light of Birmingham judgement.
2	There is no robust financial strategy or change management strategy to address effectively the significant funding reductions that the Council faces over the next 3 years and beyond in order to ensure there is a sustainable and balanced budget	Steve Kenyon	3	2	6	6	6		MTFS in place. 2012/13 - 2014/15 Budget & Plan for Change approved. Monitoring arrangements in place - Programme Board, Star Chambers etc..
3	The budget strategy fails to address the Council's priorities and emerging issues, e.g. demographic and legislative changes.	Mike Owen/Steve Kenyon	3	2	6	6	6		Monitoring of emerging budget pressures to continue on a monthly basis
4	The budget strategy does not reflect, or respond to, national policy developments, e.g. Local Government Finance Review / potential changes to the Business Rates regime.	Mike Owen/Steve Kenyon	4	3	9	12	12		Impact of proposed changes to funding regime being assessed; lack of final guidance means risk remains high.
5	The Council's Workforce Development Plan does not ensure appropriately qualified / experienced staff are in the "right place at the right time". Particularly relevant in a time when large numbers of staff are leaving the authority as a result of VER exercise.	Guy Berry	2	2	4	4	4		WFDP now approved
6	The Council's asset base is not operated to its maximum effect to deliver efficiency savings and ensure priorities are fulfilled. Ineffective use of assets presents both a financial and a performance risk.	Mike Owen	2	3	6	6	6		Asset Management Plan now in place
7	The Council needs to be prepared for the impact of the Localism Act; this presents both opportunities, e.g. power of competency, and risks e.g. referenda	Jayne Hammond	3	1	6	3	3		Localism Act now in place giving increased certainty
8	The amount of money received from the NHS to manage public health is insufficient to meet the performance outcomes expected by Government	Pat Jones-Greenhalgh	3	3	9	9	9		Officer groups established jointly with PCT to oversee transition process
9	The Council fails to manage the expectations of residents and service users in light of funding reductions.	Dionne Brandon	3	2	6	6	6		Widespread consultation on Plan for Change & review of Township Forums
10	Transferring and movement of asylum seekers to the new provider Serco.	Pat Jones-Greenhalgh	1	1	9	9	1		Transfer has progressed well. Agreed to monitor continued progress and keep on CRR to allow follow up monitoring.
11	The Government's proposed changes to Council Tax Benefit impact adversely upon the Public / Vulnerable People. Also budgetary risk to the Council in the event of claimant numbers rise.	Mike Owen	3	3	New Risk	9	9		Draft Local Scheme has been developed and is currently out to extensive consultation; this should help identify / mitigate any unforeseen consequences.
12	Changes resulting from the wider Welfare reform agenda impact adversely upon the public / vulnerable people.	Mike Owen	3	3	New Risk	New Risk	9		A Welfare Reform Group has been established to monitor the impact of changes and mitigate effects where possible
13	That the scale and pace of Public Sector reform impacts adversely upon key Council Services, compounded by the loss of capacity following staff leaving the Council (420+ since 2010)	Mike Kelly	4	2	New Risk	New Risk	8		Service transformation is overseen by the Strategic Leadership Team, and monitored through Star Chambers and other service specific project boards.